



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/NO99/00216 <b>(22) International Filing Date:</b> 25 June 1999 (25.06.99)  <b>(30) Priority Data:</b> 19983050 1 July 1998 (01.07.98) NO  <b>(71) Applicant (for all designated States except US):</b> NORSK HYDRO ASA [NO/NO]; N-0240 Oslo (NO).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> BREIVIK, Harald [NO/NO]; Uranusveien 22, N-3942 Skjelsvik (NO). SANNA, Lola, Irene [NO/NO]; Borgåsveien 10, N-3910 Porsgrunn (NO).  <b>(74) Agent:</b> LILLEGRAVEN, Rita; Norsk Hydro ASA, N-0240 Oslo (NO).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>With amended claims.</i>
<b>(54) Title:</b> STABILISATION OF PIGMENTS AND POLYUNSATURATED OILS  <b>(57) Abstract</b> <p>The present invention relates to a method for stabilising vegetable and animal oils as well as pigments like astaxanthin and canthaxanthin with regard to oxidation. It also relates to a feed for salmonids, and a method for optimising the effect of the pigment in feed for salmonids. Essential feature by the invention are treatment by or presence of urea.</p>		

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5

## Stabilisation of pigments and polyunsaturated oils

- 10 This invention relates to a method for stabilising vegetable and animal oils as well as pigments like astaxanthin and canthaxanthin. It also relates to a feed for salmonids, and a method for optimising the effect of the pigment in feed for salmonids.

For the aquaculture industry it has been an economic problem that farmed fish like  
15 salmon and trout do not naturally achieve the same strongly red colour as the wild species. Such farmed fish are palely red, if not large amounts of red pigments are artificially supplied, and therefore not as attractive as the wild fish to the customer.

Today pigments like astaxanthin and cantaxanthin are added to the fish feed to make  
20 the fish meat more red.

Commercially available astaxanthin products are very expensive and their biological retention is very low (typically 10-12%). In addition astaxanthin is a rather unstable compound, which of course is a drawback. The low stability of astaxanthin is due to  
25 oxidation. Commercial pigment products are formulated in order to avoid or reduce oxidation. One typical formulation for astaxanthin is with gelatine and starch. The formulations used are often, however, not optimal with respect to biological availability of the pigment, and a new formulation, combining a high degree of stability with improved biological availability would be of great economical benefit to the  
30 aquaculture industry. A more stable pigment is thus highly desired as this would

give possibilities making a formulation more optimal with regard to biological entrance and consequently possibilities for considerably economic saving.

Another problem for the aquaculture industry is degradation and low quality of the fat components in the feed due to oxidation. When marine fat, which is the main fat source in fish feed, reacts with oxygen, firstly primary oxidation products like peroxides are made. Peroxides from polyunsaturated fat are unstable and easily degraded by transformation to secondary oxidation products.

10 Secondary oxidation products are a complex group of compounds like aldehydes and ketones. To analyse the amount of secondary oxidation products the anisidine value is measured. The anisidine number is the intensity of a colour that develops during reaction between the chemical anisidine and aldehydes in the fat. The anisidine value is given without denomination.

15

The level of oxidation is often given as totox-value. Totox-value is two times the peroxide value added with the anisidine value.

For fish feed an oil having a totox-value below 20 should be used to secure optimal growth for the fish. It is today difficult to provide oils having a totox-value below 20. Oils with a totox-value of up to 30 are available. By reducing the oxidation oils not nutritional acceptable could be made available as a source for fat in feed. This would be very much appreciated by the aquaculture industry as the supply of fish oils are limited.

25

Oxidation of fat is a problem also with regard to fat sources like vegetable oils and animal oils other than marine oils.

It has surprisingly been found that by treating fish oils with urea oxidation has been considerably reduced. Even more surprisingly it was notified that oxidation of astaxanthin kept in a fish oil treated by urea was considerably reduced.

- 5 The main object of the invention is to provide a method for stabilising vegetable and animal oils with regard to oxidation.

Another main object of the invention is to provide a method for stabilising pigments like astaxanthin and cantaxanthin, with regard to oxidation.

10

Further, it is an object of the invention to provide a feed for salmonids being improved with regard to storage stability/degradation and biological effect of the pigment.

- 15 Still another object of the invention is to provide a method for optimising the effect of the pigment in feed for salmonids.

These and other objects are obtained by treatment or presence of urea as defined in the accompanying claims 1-14.

20

A preferred feature by this invention is that the oil is treated with urea and added to the fodder before or after extrusion. The oil is treated either by heating in the presence of urea, or by reacting with an aqueous mixture of urea. Another preferred feature is that urea is added directly to the fodder mixture, either in an aqueous

25 phase or in solid form.

In the following the invention will be further explained by examples and attached illustrations Fig. 1-5. The examples are just meant to be illustrative and shall not be  
30 considered as limiting.

Fig. 1 shows a diagram concerning oxidation with regard to secondary oxidation products, at different temperatures of a fish oil treated by urea.

- 5 Fig. 2 shows a diagram concerning oxidation with regard to secondary oxidation products, of a fish oil treated by urea compared with oxidation of a fish oil not treated by urea.

- Fig. 3 shows a diagram concerning oxidation of astaxanthin in a fish oil treated by  
10 urea and various antioxidants compared to oxidation of astaxanthin kept in a fish oil not treated by urea but treated by various antioxidants.

- Fig. 4 shows a diagram concerning oxidation of astaxanthin in a fish oil treated by urea compared to oxidation of astaxanthin in a fish oil treated by urea where  
15 unsolved urea is removed. Oxidation of astaxanthin in a control with only fish oil is also shown.

Fig. 5 shows a diagram concerning oxidation of astaxanthin in different urea treated fish oils.

20

#### Example 1.

- 5% urea was added to a fish oil and progressively heated to 140°C during agitation to dissolve urea in the oil. The melting point for urea is 132.7°C. Samples for  
25 analysing were taken during heating at 20, 60, 80, 120, 130 and 140°C. Subsequent to the heating the oil mixture were cooled. Crystallising was observed at ca. 133°C. At room temperature a sample for analysing was taken as well. The samples were filtered and analysed regarding anisidine value. The anisidine value is related to the intensity of the colour that is formed by chemical reactions between anisidine and  
30 carbonyl compounds (i.e. aldehydes) in the oil. The analytical procedure as given by

the European Pharmacopoeia in the monograph for Cod-liver oil (type A) (3rd Edition, monograph 1998:1192) was used.

Before addition of urea the fish oil showed an anisidine value of 21. When heating  
5 the oil to 140°C as described above the anisidine value was progressively  
decreased, and when cooled to room temperature the anisidine value was 10. These  
results are shown in Fig. 1.

## 10 Example 2

5% urea was added to 100 g fish oil and heated to 140°C and cooled. This oil mixture  
was continuously agitated by means of magnet agitating at room temperature for 35  
days. Samples were taken frequently for analysing.

15

For comparison 100 g fish oil was continuously agitated by means of magnet  
agitating at room temperature for 35 days. Samples were taken frequently for  
analysing.

20 The samples were filtered and analysed with regard to the anisidine value (p-Av)  
according to the method given by the European Pharmacopoeia in the monograph for  
Cod-liver oil (type A) (3rd Edition, monograph 1998:1192).

At start of the test the control showed a anisidine value of 21.5. When treating the oil  
25 by urea the anisidine value was decreased to 6.5. The control showed an increasing  
anisidine value and at day 34 the anisidine value was 38. The anisidine value for the  
fish oil treated by urea was 10 at day 34. These results are shown in Fig. 2.

30

Example 3

5% urea was added to 500 g fish oil and heated to 140°C and cooled to room temperature.

5

1A) 200 ppm tocopherol, 50 ppm ascorbic acid and 100 ppm astaxanthin were added to 100 g of the fish oil treated by urea.

10 1B) 200 ppm tocopherol, 200 ppm ascorbic acid and 100 ppm astaxanthin were added to 100 g of the fish oil treated by urea.

1C) 100 ppm astaxanthin was added to 100 g of the fish oil treated by urea.

15 2A) 200 ppm tocopherol, 50 ppm ascorbic acid and 100 ppm astaxanthin were added to 100 g fish oil.

2B) 200 ppm tocopherol, 200 ppm ascorbic acid and 100 ppm astaxanthin were added to 100 g fish oil.

20 2C) 100 ppm astaxanthin was added to 100 g fish oil.

The oil samples 1A, 1B, 1C, 2A, 2B, and 2C were placed in an ultrasound bath in ice water for 1 hour to dissolve the antioxidants (tocopherol and ascorbic acid) and the astaxanthin. The homogenous samples were placed in a heating bath at 75°C having  
25 continuously through flow of air. Samples were taken every hour. These samples were filtered and measured at 490 nm on a spectrophotometer. The results of the measurements are given in % Abs.

The % Abs is a value relative to zero where zero refers to the amount at the  
30 beginning of the experiment. Thus as the substance is decomposed the % Abs value



will become negative. It is also possible that the value may initially increase due to higher solubility of the substance at the experimental temperature.

These experiments showed that degradation of astaxanthin can be decreased by addition of tocopherol and ascorbic acid to the fish oil. When pretreating the fish oil by urea the degradation is considerable. Tocopherol and ascorbic acid added to pre-treated oil showed a further stabilising effect. These results are shown in Fig. 3.

The ascorbic acid in 1A, 1B, 2A and 2B could be substituted by ascorbyl palmitate or other derivatives of ascorbic acid and also give improved protection compared to fish oil only treated by urea.

#### Example 4

15

CP-solution (CP=Carophyl Pink): 0.6 g emulgator (glyceryl polyetyleneglycolricinoleat), 1.25 g Carophyl Pink (commercial astaxanthin product from Hofmann La Roche) and 10.6 g water were added to a flask during N<sub>2</sub> presence and heated to 50°C. This solution contains 100 ppm astaxanthin.

20

1) 5 g urea and 1.25 g CP-solution at temperature ca. 50°C were added to 95 g fish oil. The oil mixture was heated to 140°C and cooled to room temperature.

2) 5 g urea and 1.25 g CP-solution at temperature ca. 50°C were added to 95 g fish oil. The oil mixture was heated to 140°C and cooled to room temperature.

25

Precipitated urea was filtered from the oil mixture. This oil mixture contained 570 mg nitrogen/kg.

3) 1.25 g CP-solution at temperature ca. 50°C was added to 100 g fish oil during constantly agitation. This fish oil contained 54 mg nitrogen/kg.

30

200 ppm tocopherol and 200 ppm ascorbic acid was added to 1) and 2). The flasks were placed in an ultrasound bath in ice water for 1 hour for homogenising. The homogenous oil samples were placed in a heating bath at 75°C having continuously  
5 through flow of air. Samples were taken every hour. These samples were filtered and measured at 480 nm on a spectrophotometer.

2) showed the same properties as 1) with regard to oxidation of astaxanthin. The oils did not show any sign to oxidation of the pigment after 25 hours. After 5 hours the  
10 astaxanthin in 3) did start to oxidise and it was completely degraded after 15 hours. These results are shown in Fig. 4.

#### Example 5

15

5 gram urea was dissolved in 5 gram water. The water was containing 6% of an emulgator (glyceryl polyetyleneglycolricinoleat). This solution (10% by weight) was stirred with fish oil (100 gram) at room temperature for 15 minutes. Analysis showed that the anisidine value was reduced from 14.5 to 7.2.

20

A similar experiment was performed adding only water (with 6% emulgator) to fish oil. After stirring for 15 minutes at room temperature the anisidine value of the oil was 14.5, i.e. no change had occurred.

25 Thus, it can be concluded that urea is the compound reacting with the aldehydes and causing reduction in the anisidine value.

30

### Example 6

Experiment 1) Astaxanthin (100 mg/g) was dissolved in fish oil that had been treated with 5% urea at 140°C. 100 g of this oil was bubbled with air at 70°C.

5

Experiment 2) Astaxanthin (100 mg/g) was added to untreated fish oil. 100 g of this oil was mixed with 5g urea and 5 g water. The water contained 6% of an emulgator (glyceryl polyetyleneglycolricinoleat). The mixture was bubbled with air.

- 10 As expected from the previous examples the astaxanthin concentration in experiment 1) was stable for a period of several hours. However, the astaxanthin in the oil phase of experiment 2) was stable for an even longer period of time. This is shown in Fig. 5. This means that the oil can effectively be treated with aqueous urea.

15

### Example 7

- A commercial formulation of astaxanthin (Carophyll Pink, Roche) was added to a fodder mixture before extrusion so as to give a calculated astaxanthin concentration
- 20 in the extruded product of 102 mg/kg, provided that no degradation took place during the process. Analysis of the extruded product gave a concentration of 56.0 mg/kg. When the oil in the feed mixture was substituted with oil pre-treated with urea (oil and 5% urea heated to 140°C, the oil was filtered after cooling to room temperature) the extruded product contained 70.2 mg/kg astaxanthin.

25

Similarly, fodder mixtures with identical concentrations of purified astaxanthin were extruded. After extrusion, the sample with untreated fish oil contained 26.0 mg/g astaxanthin, while the sample with urea-treated fish oil contained 32.2 mg/g astaxanthin.

30

These experiments show that addition of urea-treated fish oil protects astaxanthin from degradation during extrusion of fish fodder.

#### 5 Example 8

100 g fish oil with an initial anisidine value of 23.8, was stirred with 5% urea and heated to 140 °C. After reaching this temperature, the oil was cooled to room temperature. The anisidine value of a sample of this oil was analysed to be 22.9.

10

100 g of the same fish oil was treated in an identical manner, except that the oil was kept at 140°C for 20 minutes before cooling. The anisidine value of this oil after cooling to room temperature was 6.5.

- 15 This shows that it takes a certain time for the oil to react with urea in the desired manner. The exact time will depend on the composition and quality of the oil. The temperature of 140°C is not mandatory. As shown in example 1 (Fig.1), a reduction of anisidine value is observed also at lower temperatures. By reacting the oil with urea for sufficient time a significant reduction of anisidine value will be obtained also
- 20 at low temperatures. Also, the amount of 5% urea is not mandatory; depending on the quality of the oil much lower amounts would be sufficient. In the remaining examples, the oil is treated with 5% urea at 140°C for the sake of convenience only. Other temperatures, concentrations and heating times could give similar results regarding stabilisation of pigments.

25

#### Example 9

In all experiments below "water" means water containing 6% emulgator (glyceryl polyethylenglycol ricinoleate).

30

0.5 g urea and 0.5 ml water was stirred with 100g fish oil (anisidine value 23) at ambient temperature. After 20 minutes the anisidine value of the oil was reduced to 9.0, after 2 hours it was reduced to 8.3.

5

An identical experiment was performed with 0.5 g urea, 5.0 ml water and 100g of the same oil as above. After 20 minutes the anisidine value of the oil was reduced to 7.9, after 2 hours the anisidine value was reduced to 7.8.

- 10 An identical experiment was performed using 5.0 g urea and 5.0 ml water. The anisidine values were 5.7 and 2.3 after 20 minutes and 2 hours respectively.

#### Example 10

15

1.0 g urea was stirred with 100 g fish oil (anisidine value 23) and heated to 140°C. Samples were taken at the moment this temperature was reached, and after 30 minutes. The anisidine values were analysed to be 23 and 8.9 respectively.

- 20 An identical experiment was performed with 5 g urea. The anisidine value was analysed to be 17 at the time the temperature had reached 140°C, and 6.9 after 30 minutes at this temperature.

25

Urea may be added in a number of ways and not only directly to a oil as described in the examples above. By production of a feed urea can be added for instance during the extruding, by vacuum coating, spray coating and by oil bath. Urea can also be  
30 added in the water phase or in solid form.

The meal which is an important ingredient in the feed is marine or vegetable.

Fishmeal, which typically contains around 10% fat, is commonly used in fish feed.

The fat from the fish meal is however strongly oxidised. Thus, it would be favourable  
5 to add oil treated by urea according to this invention to the meal before the pigment  
is brought into the feed mixture.

Besides reducing the oxidation and thus improving the quality of the fat and pigments  
during the production process, this invention will involve prolonged storing time for  
10 the feed. Stability of the pigment with regard to oxidation is a factor that decides for  
how long time the feed can be store. A pigment having an improved stability gives a  
feed having an increased storing time. This gives the advantageous that larger  
stocks may be built. In that way feed producing industries will not be that vulnerable  
with regard to for instance production stop.

15

Thus, according to the present invention it has been demonstrated that oils treated  
by urea and pigments which have stayed in contact with oils treated by urea are less  
20 exposed to oxidation and thereby degradation than untreated oils and pigments not  
being in contact with urea-treated oils. Furthermore, this invention discloses a feed  
having ability for being stored longer than any other similar known feed, and also a  
feed where the effect of the pigments are higher than in any previous known feed.

## CLAIMS.

1. Method for stabilising vegetable and animal oils,  
characterized by treating the oil by urea, or by urea and one or more antioxidants.
2. Method according to claim 1,  
characterized in that the oil is heated in presence of urea preferably above the melting point of urea and preferably kept at this temperature for 20-30 minutes, and one or more antioxidants may be added.
3. Method according to claim 1,  
characterized in that the oil is reacted with an aqueous mixture of 0.1-40% urea.
4. Method according to claim 1,  
characterized in that the oil is reacted with an aqueous mixture of 0.5-5% urea.
5. Method according to claim 1,  
characterized in that the antioxidants are tocopherol and/or ascorbic acid.
6. Method according to claim 1,  
characterized in that the antioxidants are tocopherol and/or ascorbyl palmitate.
7. Method for stabilising pigments like astaxanthin and canthaxanthin,  
characterized by exposing the pigments to urea.

8. Method according to claim 7,  
c h a r a c t e r i z e d b y keeping the pigments in an oil treated by urea and optionally one or more antioxidants.
9. Feed for salmonids comprising 25-70 % by weight of proteins, 5-60 % by weight of lipids, 0-40 % by weight of carbohydrates, and pigments in combination with 0-15 % by weight of one or more additional components; such as fillers, adhesives, preservatives, vitamins and minerals,  
c h a r a c t e r i z e d i n t h a t the feed also comprises urea.
10. Feed according to claim 9,  
c h a r a c t e r i z e d i n t h a t some or all the lipids are one or more marine oils and/or vegetable oils treated by urea and optionally one or more antioxidants.
11. Feed according to claim 9, comprising fishmeal.
12. Method for optimising the effect of the pigment in feed for salmonids, made from a mixture of components comprising proteins, lipids, carbohydrates and pigments in combination with one or more additional components; such as fillers, adhesives, preservatives, vitamins and minerals,  
c h a r a c t e r i z e d b y adding urea to the feed.
13. Method according to claim 12,  
c h a r a c t e r i z e d b y treating some or all lipids by urea and optionally one or more antioxidants.
14. Method according to claim 12-13,  
c h a r a c t e r i z e d b y adding an oil treated by urea and optionally one or more antioxidants to the feed components comprising proteins, lipids and carbohydrates before addition of the pigments.



15. Use of urea for production of a feed for salmonids which reduces degradation of the feed and improves the effect of the pigment.
16. Use of one or more marine oil and/or vegetable oil treated by urea and optionally one or more antioxidants for production of a feed for salmonids which reduces degradation of the feed and improves the effect of the pigment.

**AMENDED CLAIMS**

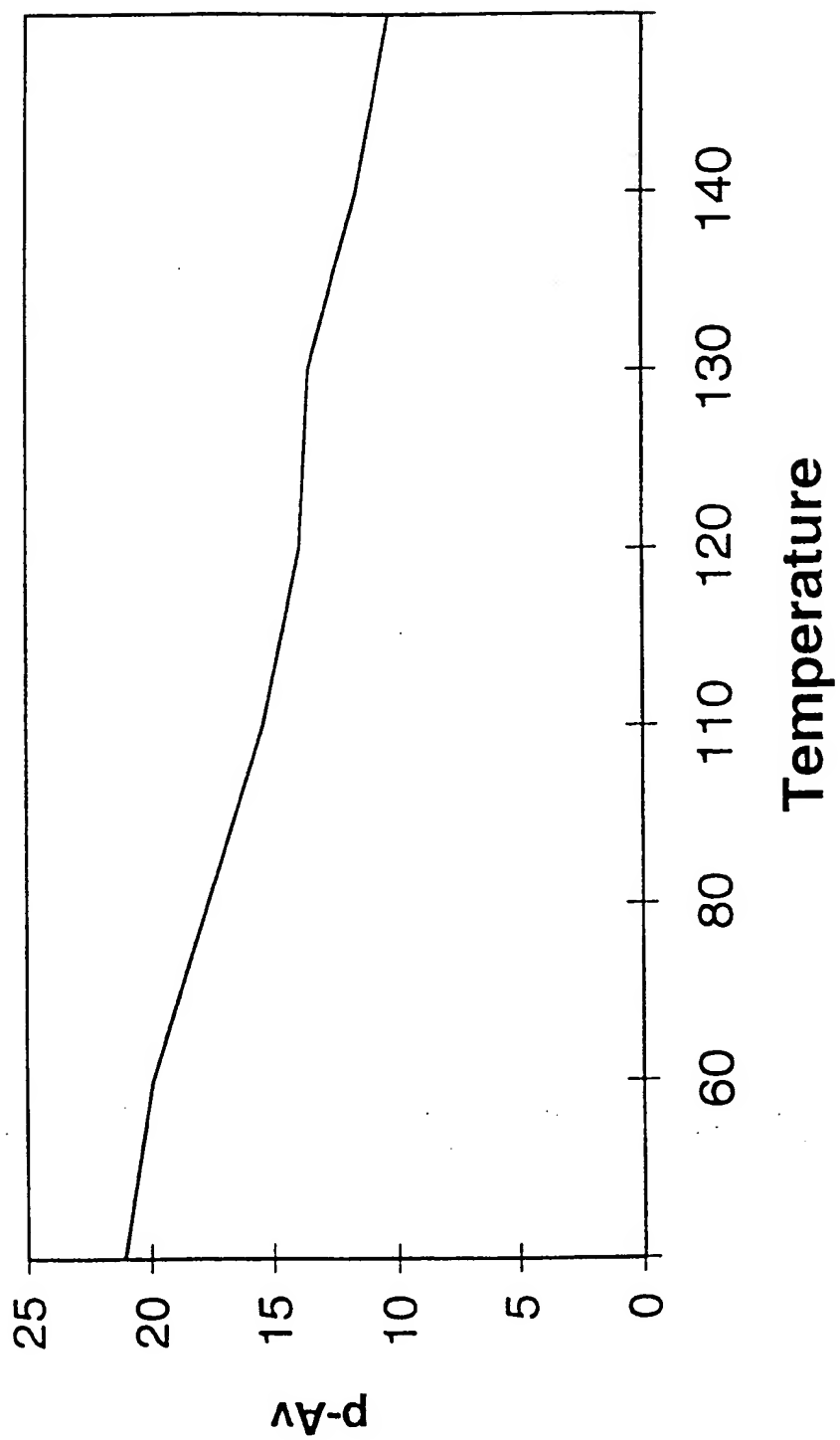
[received by the International Bureau on 30 November 1999 (30.11.99);  
original claims 1-16 replaced by new claims 1-11 (2 pages)]

1. Method for stabilising vegetable and animal oils,  
characterized by treating the oil by urea, or by urea and one or more  
antioxidants.
2. Method according to claim 1,  
characterized in that the oil is heated in presence of urea  
preferably above the melting point of urea and preferably kept at this  
temperature for 20-30 minutes, and one or more antioxidants may be added.
3. Method according to claim 1,  
characterized in that the oil is reacted with an aqueous mixture of  
0.1-40% urea.
4. Method according to claim 1,  
characterized in that the oil is reacted with an aqueous mixture of  
0.5-5% urea.
5. Method according to claim 1,  
characterized in that the antioxidants are tocopherol and/or  
ascorbic acid.
6. Method according to claim 1,  
characterized in that the antioxidants are tocopherol and/or  
ascorbyl palmitate.
7. Method for stabilising pigments like astaxanthin and canthaxanthin,  
characterized by keeping the pigments in an oil treated by urea and  
optionally one or more antioxidants.

8. Feed for salmonids comprising 25-70 % by weight of proteins, 5-60 % by weight of lipids, 0-40 % by weight of carbohydrates, and pigments in combination with 0-15 % by weight of one or more additional components; such as fillers, adhesives, preservatives, vitamins and minerals, characterized in that some or all the lipids are one or more marine oils and/or vegetable oils treated by urea and optionally one or more antioxidants.
9. Method for optimising the effect of the pigment in feed for salmonids, made from a mixture of components comprising proteins, lipids, carbohydrates and pigments in combination with one or more additional components; such as fillers, adhesives, preservatives, vitamins and minerals, characterized by treating some or all lipids by urea and optionally one or more antioxidants.
10. Method according to claim 9, characterized by adding an oil treated by urea and optionally one or more antioxidants to the feed components comprising proteins, lipids and carbohydrates before addition of the pigments.
11. Use of one or more marine oil and/or vegetable oil treated by urea and optionally one or more antioxidants for production of a feed for salmonids which reduces degradation of the feed and improves the effect of the pigment.

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Fig. 1



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Fig. 2

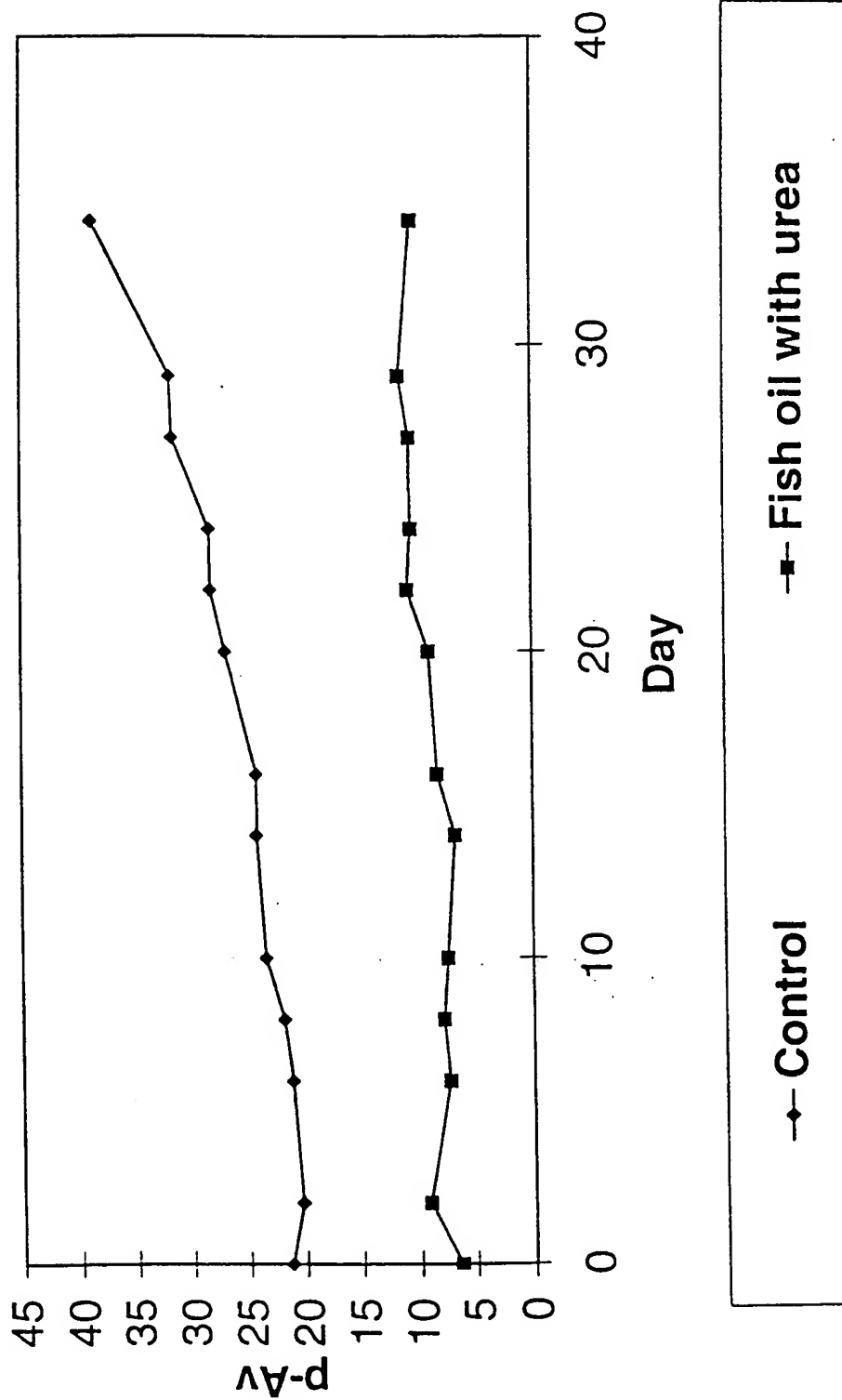
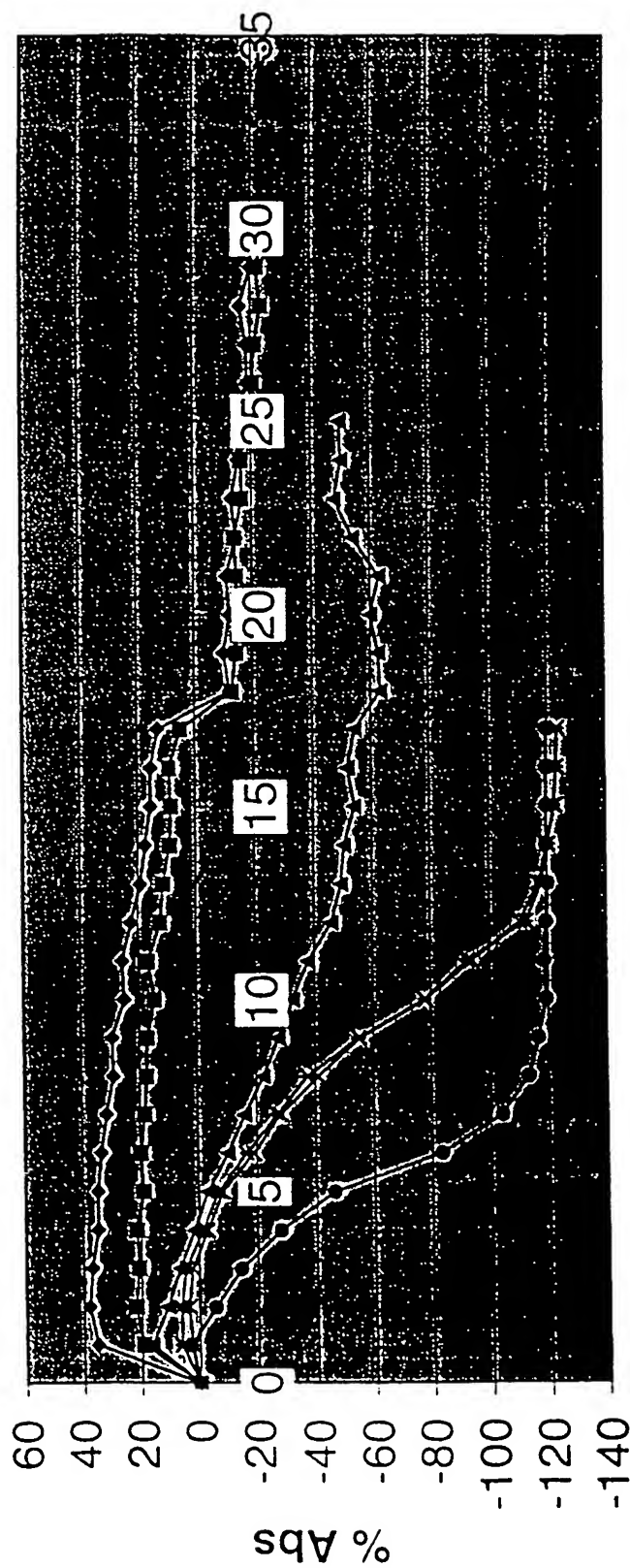


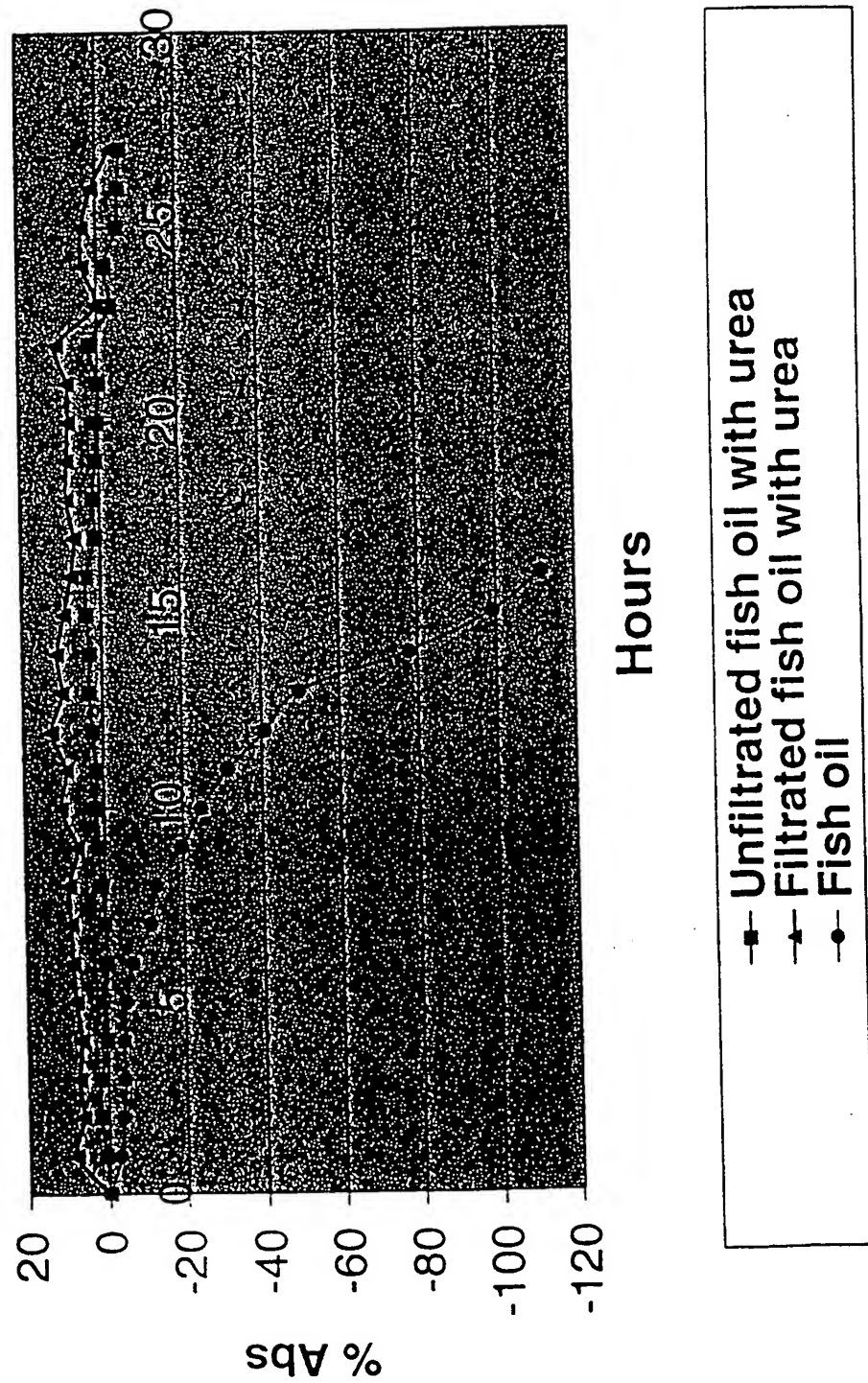
Fig. 3



Hours

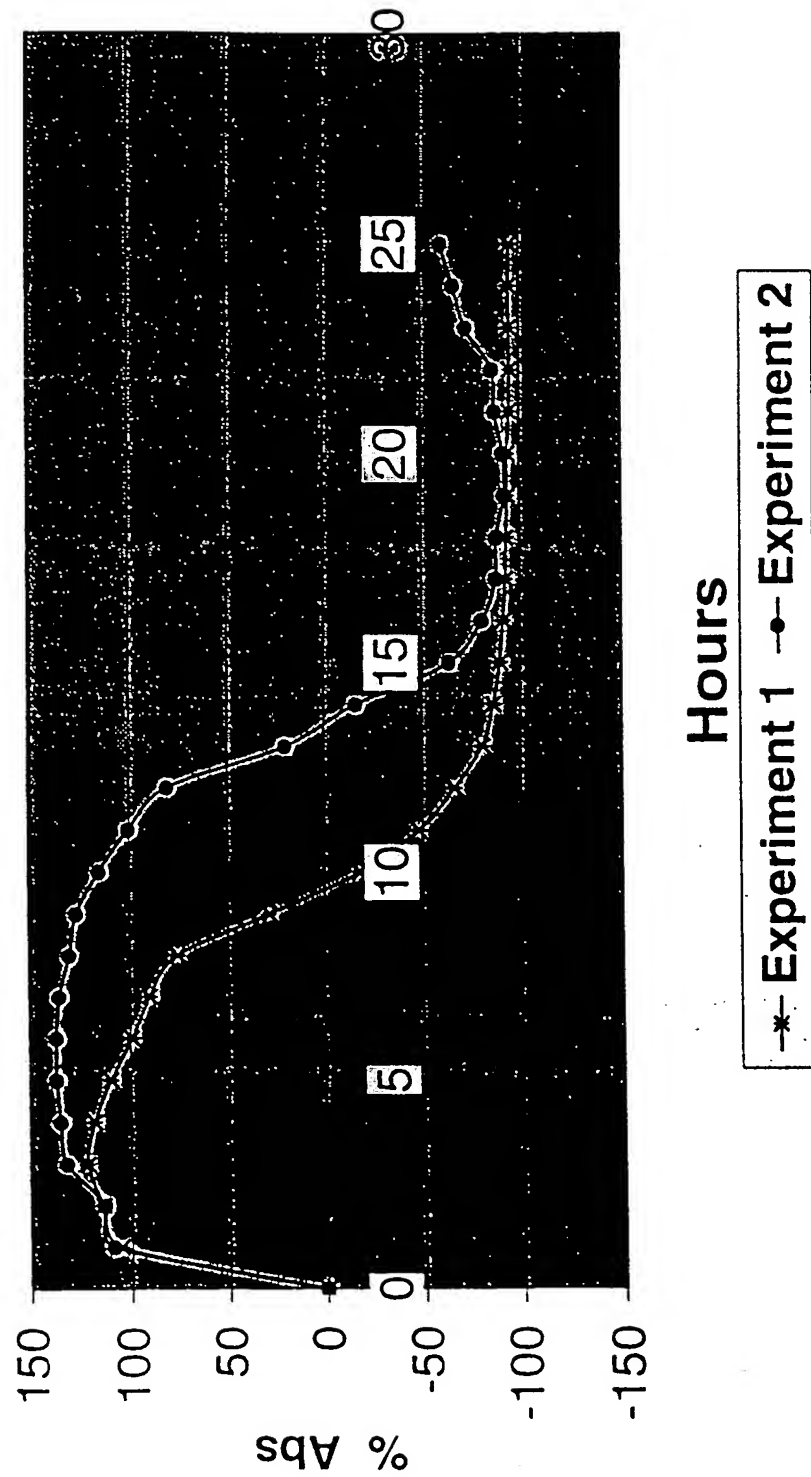
- ♦— Experiment 1A
- Experiment 1B
- \*— Experiment 2A
- x— Experiment 2B
- ▲— Experiment 1C
- Experiment 2C

Fig. 4



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Fig. 5



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## INTERNATIONAL SEARCH REPORT

International application No.

PCT 99/00216

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: A23K 1/16, A23K 1/18

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: A23K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 9612415 A1 (NORSK HYDRO A.S.), 2 May 1996 (02.05.96), see particularly paragraphs 2 and 4, page 3 and claims --	7,9,11-12,15
A	Annals of nutrition & metabolism, Volume 27, 1983, S.J. Kaushik et al, "Utilization of Dietary Urea in Rainbow Trout" page 94 - page 106 --	1-16
A	EP 0574974 A2 (NORSK HYDRO TECHNOLOGY B.V.), 22 December 1993 (22.12.93) -- -----	1-16

☐ Further documents are listed in the continuation of Box C.
 ☒ See patent family annex.

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